

02/09/00



JCS66 U.S. PTO

Transmittal Form

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail" under Label No. EL 357 191 098 US in an envelope addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on:

2/9/00
(Date of Deposit)

Kevin G. Mierzwa
Reg. No. 38,049

(Signature)

Box Patent Application
Assistant Commissioner
for Patents
Washington, D.C. 20231

Attorney Doc. #: AMB 0101 PA
Mailing Date:

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Dean Amburn

For: METHOD AND APPARATUS FOR AUTOMATED TRADING OF EQUITY SECURITIES USING
A REAL TIME DATA ANALYSIS

Submitted herewith are:

- ☒ 10 sheets of Informal ☒ Formal drawings showing Figs 1-11
- ☐ An assignment of the invention to _____ together with Assignment Recordal Sheet
- ☒ A Declaration for patent application under CFR 1.63 and 1.68
- ☒ An associate power of attorney
- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27
- ☒ Other: Postcard

The filing fee has been calculated as shown below:

| | No. Filed | No. Extra | Small Entity Fee | Large Entity Fee |
|---------------------------|-----------|-----------|------------------|------------------|
| Basic Fee | | | \$345 | \$690 |
| Total Claims | 34-20 | x 14 | x 9 = 126 | x 18 = |
| Indep. Claims | 5-3 | x 2 | x 39 = 78 | x 78 = |
| Multiple Dependent Claims | | | + 130 = | + 260 = |
| Assignment Recordal Fee | | | | \$ 40 = |
| | | | TOTAL \$549 | TOTAL |

☐ Please charge my Deposit Account No. _____ in the amount of \$ _____
A duplicate copy of this sheet is enclosed

☒ A check in the amount of \$ 549.00 to cover the above calculated filing fee is enclosed

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0476. A duplicate copy of this sheet is enclosed.

- ☒ Any additional filing fees required under 37 CFR 1.6.
☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

ARTZ & ARTZ, P.C.

Kevin G. Mierzwa
Reg. No. 38,049
28333 Telegraph Rd., Ste. 250
Southfield, MI 48034
Phone: (248) 223-9500
Fax: (248) 223-9522

Date: 2/9/00



Jc135 U.S. PTO

09/500624

02/09/00